

1 **METHOD OF AUTHENTICATING USER ACCESS TO**
2 **NETWORK STATIONS**

3 BACKGROUND OF THE INVENTION

4 1. Field of the Invention

5 The present invention relates to a method of authenticating user access to
6 network stations, especially to an authentication method making use of a net entry
7 apparatus possessing a cryptography security mechanism to establish two-way
8 communication with an authentication server and an application server through a
9 host computer, whereby a machine-independent token is generated and sent to the
10 application server for verification of a user ID to control access to specific network
11 station for on-line transactions. This authentication system is able to enhance
12 Internet security by obviating the input of user IDs and passwords by users, thus
13 freeing users from having to memorize many different passwords and minimizing
14 the risk of account numbers and passwords being stolen.

15 2. Description of Related Arts

16 Internet services are expanding rapidly because the Internet technology
17 has created an information super highway across national and geographical
18 boundaries. Network users are able to conduct a variety of on-line transactions
19 through network computers, notebook computers, and the latest cellular phones,
20 realizing the dream of virtual offices and real-time transactions through the
21 Internet.

22 Many kinds of network services have been developed over the past years,
23 such as electronic commerce, electronic shopping, network games, and network
24 financial services. However, these new forms of network activities also give rise to

1 network crimes and security problems. As an example, network games have
2 gained wide popularity in the Asian region, but the crime rate of stealing account
3 numbers and passwords is also rising fast. The perpetrators are somehow able to
4 intercept the personal information of game players through the network
5 connections, no matter the players are playing at home or in a network café. Thus
6 far, there has been no effective means to prevent the stealing of account numbers
7 and passwords.

8 In network financial services, many people have used on-line services
9 offered by financial institutions to handle their personal financial affairs for work
10 efficiency and to gain access to the resources available on the Internet. These on-
11 line services range from network banking, transfers of funds, payment of utility
12 bills, to stock transactions. Nevertheless, for all these services, users still need to
13 apply for the right to access the network services by filling out many personal data
14 forms to verify their user IDs. Furthermore, users have to enter their user IDs
15 and passwords each time when they want to gain access to the network stations. In
16 some ways, users may have to take the risk of exposing their personal information
17 to other persons in the process of inputting user IDs and passwords.

18 At present, most software programs of network banking are installed with
19 SSL 128-bit high compression security encryption and are certified by
20 international institutions to enhance Internet security. Yet, in many instances, the
21 user's operation to gain access to the network services is not very user friendly.
22 For ease in memorization, many users simply use one set of password and user ID
23 for all network accounts. If a perpetrator is able to steal that set of user ID and
24 password, then the thief can break into all network accounts with the same user ID

1 without further checks. On the other hand, if the user sets up different user ID and
2 passwords for different accounts, then this will require memorization of many
3 numbers, which might not be easy as the opportunity of using user IDs and
4 passwords to access network services gets higher every day. Therefore, the public
5 demands a more user-friendly operation to access network stations.

6 SUMMARY OF THE INVENTION

7 The main object of the present invention is to provide a method of
8 authenticating user access to network stations for on-line transactions, obviating
9 the input of user IDs and passwords by users, yet ensuring Internet security.

10 To this end, the instrumentalities of the present invention include a two-
11 stage authentication process. The first-stage authentication includes the
12 establishing of two-way communication between a net entry apparatus possessing
13 the cryptography security mechanism and an authentication server through a host
14 computer, whereby the authentication server generates a network key after
15 verifying the identity of the net entry apparatus, comprising the steps of

16 activating the user ID authentication mechanism;

17 reading off the basic data or user ID of the net entry apparatus by a host
18 computer and sending them to the authentication server;

19 sending a random number test key, by the authentication server, back to
20 the net entry apparatus within a preset time, and keeping a copy of the random
21 number test key in the authentication server;

22 encrypting the received test key with a private key embedded in the net
23 entry apparatus and then sending the encrypted data back to the authentication

1 server;
2 retrieving the other copy of the random number test key for encryption
3 with a symmetrical copy of the private key by the authentication server and
4 comparing it with the encrypted data received from the host computer; if the two
5 test keys correspond with each other, the authentication server then generates a
6 network key.

7 The second-stage authentication starts after the generation of the network
8 key, comprising the steps of:

9 encrypting a token with the network key, by the authentication server, and
10 then sending the encrypted token to the host computer;

11 sending the encrypted token, by the host computer, to an application
12 server for intended on-line transactions;

13 receiving the encrypted token, by the application server, and passing it to
14 the authentication server for verification;

15 decrypting the token received, by the authentication server, and then
16 comparing it with the original token; and

17 informing the application server that the user ID is valid, if the tokens
18 correspond with each other; otherwise the user ID is invalid if the tokens do not
19 match.

20 The second object of the present invention is to provide a net entry
21 apparatus having the capability of creating cryptography security, comprising:

22 a microprocessor for internal computation;

23 a connection interface for linking up with the host computer;

1 an encryption unit for generating encrypted data; and
2 a system memory for temporarily saving of a user ID from the net entry
3 apparatus and the random number test key.

4 The above-mentioned microprocessor, in accordance with the present
5 invention, is equipped with RISC capability.

6 The above-mentioned connection interface, in accordance with the present
7 invention, has a USB 1.1 interface.

8 The above mentioned encryption unit, in accordance with the present
9 invention, employs a high compression security standard of AES 128-256 bits or a
10 regular security standard complying with RSA, DES, 3DES, MD5, MD2, and
11 SHA-1.

12 The above mentioned system memory, in accordance with the invention,
13 can be formed by read-only memory, dynamic random access memory, and
14 erasable programmable read-only memory.

15 The features and structure of the present invention will be more clearly
16 understood when taken in conjunction with the accompanying figures.

17 **BRIEF DESCRIPTION OF THE DRAWINGS**

18 Fig. 1 system architecture of a possible implementation of the present
19 invention;

20 Figs. 2-4 show a flow chart of the authentication process of the present
21 invention; and

22 Fig. 5 is a block diagram of a net entry apparatus for the present invention.

1 **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

2 The architecture of the authentication system, as shown in Fig. 1 includes
3 a host computer (10), an authentication server (20), an application server (30) and
4 a net entry apparatus (40). The authentication process is activated when the
5 application server (30) needs to verify the user ID, whereby the net entry apparatus
6 (40) possessing the cryptography security mechanism is connected to the
7 authentication server (20) through the host computer (10).

8 The host computer (10) is used to establish two-way communication with
9 the authentication server (20) through the network connection to obtain a network
10 key after successful verification of the user ID. In the process, a token is generated
11 by a dynamic process, which is then passed to the application server (30). The
12 application server (30) is a network station on the Internet to which the user
13 intends to gain access. The net entry apparatus (40) is also linked with the
14 application server (30) through the host computer (10) for verifying the token sent
15 by the host computer (10).

16 The authentication is a two-stage process. The first stage authentication
17 process, as shown by Figs. 2-4, includes the steps of:

18 activating the authentication mechanism, by the authentication server (20),
19 when a user attempts to gain access to a network station or application server (30)
20 with a net entry apparatus (40) (201);

21 reading off the basic data or user ID of the net entry apparatus (40), by the
22 host computer (10), and sending the user ID over the Internet to the
23 authentication server (20) (202);

24 sending out a random number test key to the net entry apparatus (40), by

1 the authentication server (20), on receiving the user ID of the net entry apparatus
2 (40), within a preset time, and keeping a copy in the authentication server (20)
3 (203), wherein the contents of the random number test key are created by a
4 random process;

5 encrypting the received random number test key, by the net entry
6 apparatus (40), with an embedded private key, after the host computer (10) has
7 received the random number test key from the authentication server (20), and
8 sending the encrypted random number test key back to the authentication server
9 (20) (204); wherein the above net entry apparatus (40) can employ a high
10 compression security standard of AES 128-256 bits or a regular security standard
11 complying with RSA, DES, 3DES, MD5, MD2, and SHA-1;

12 retrieving an own copy of a random number test key for encryption with a
13 symmetrical private key, by the authentication server (20), and then comparing it
14 with the encrypted random number test key sent from the host computer (10); and
15 then generating a network key dynamically, by the authentication server (20), if
16 the two test keys correspond with each other (205), wherein each network key is
17 unique and will be automatically deleted after a certain time.

18 The above-mentioned process represents the first stage authentication of
19 user identification conducted between the host computer (10) and the
20 authentication server (20). The second stage authentication starts after the
21 generation of the network key, as shown in Figs. 2-4, including the steps of:

22 encrypting a token with the network key, by the authentication server (20),
23 and passing the encrypted token to the host computer (10) (206);
24 receiving the encrypted token, by the host computer (10), and passing it to

1 the application server (30) intended to gain access for on-line transactions (207);
2 passing the received token to the authentication server (20), by the
3 application server (30), for verification (208);
4 decrypting the returned token, by the authentication server (20) (209);
5 comparing the decrypted token with the original token (210);
6 sending a message to the application server (30) notifying that the user ID
7 is valid, if the two tokens correspond with each other (211); otherwise, the user ID
8 is invalid, if the two tokens do not match (212).

9 The important feature of the present invention is that the user requesting
10 access to an application server (30) for on-line transactions does not need to input
11 a user ID and password in the authentication process; instead, only a net entry
12 apparatus (40) has to be used to link up with a host computer (10), through which
13 a two-way communication is established with the authentication server (20) and
14 the application server (30). The authentication mechanism is activated by the
15 application server (30) that needs to verify the user ID of the net entry apparatus
16 (40), which is connected to the authentication server (20) through the host
17 computer (10). In the authentication process, a set of test key, network key and
18 token is generated by the authentication server (20) and passed back to the host
19 computer (10). One copy of the token is issued to the application server (30)
20 through the host computer (10), and the other copy is kept by the authentication
21 server (20). When the application server (30) receives the token, the application
22 server (32) returns the token to the authentication server (20) for verification. Then,
23 the authentication server (20) retrieves the original token to compare with the
24 returned token. Then, the application server (30) is notified of the validity of the

1 user ID.

2 Since the user does not need to input the user ID and password when
3 trying to access the network station or application server, the authentication system
4 can prevent stealing or intercepting of user IDs and passwords by unauthorized
5 persons.

6 When the user attempts to gain access to a different network station, the
7 above mentioned authentication process will be performed all over again, and a
8 new set of random number test key, network key and token will be generated in
9 another authentication process, but the user does not need to use different user IDs
10 and passwords to operate network accounts on different systems. The
11 authentication system has the advantages of freeing users from having to
12 memorize many different numbers and preventing the stealing of user IDs and
13 passwords for criminal purposes.

14 The above-mentioned net entry apparatus (40) can be implemented as
15 shown in Fig. 5, comprising:

16 a microprocessor (41) for encryption of data, being equipped with RISC
17 capability, but it can also be implemented with a low-end processor to reduce
18 costs;

19 a connection interface (42) having a USB 1.1 interface for linking with a
20 host computer (10);

21 an encryption unit (43) for creating encrypted data, wherein the encryption
22 unit can be installed with a high compression standard of AES 128-256 bits or a
23 regular security standard complying with RSA, DES, 3DES, MD5, MD2, and
24 SHA-1;

1 a system memory (44) for temporarily saving of a user ID of the net entry
2 apparatus (40) and the random number test key, wherein the system memory can
3 be formed by read-only memory, dynamic random access memory, and erasable
4 programmable read-only memory.

5 Since the net entry apparatus (40) is equipped with a USB interface, it
6 does not need a card reader as in those systems operated by a contact/non-contact
7 memory cards, IC cards, smart cards, etc. Since most personal computers and
8 notebook computers can support a USB interface, and the net entry apparatus (40)
9 is compatible with an HID interface, the net entry apparatus (40) has plug-and-
10 play characteristics, that means the authentication system can be up and running
11 without needing software drivers, making it simpler to operate than conventional
12 contact/non-contact memory cards, IC cards, or smart cards.

13 The present invention is also characterized in that each net entry apparatus
14 has a unique digital signature, representing the user ID that cannot be duplicated.
15 Each net entry apparatus is embedded with a private key that contains a long bit
16 string that is burnt into the processor using a chip programmer. After writing in the
17 necessary data, a large current is applied on the I/O pins of the chip to break off all
18 connection points to make the chip isolated from outside circuits. In the key
19 burning process, only the authentication server possesses a copy of the private key
20 corresponding to the private key in the net entry apparatus. The only way to obtain
21 the user ID stored in the net entry apparatus is to use a computer with a USB
22 connection interface to read off the data from the net entry apparatus that has to be
23 decrypted with the private key.

24 For extra protection and for users accustomed to the conventional

1 authentication systems, an initial password can be used to activate the net entry
2 apparatus, which is not to be transmitted over the network. An initial password is
3 only required when the net entry apparatus links up with a host computer, and only
4 when the initial password check is passed is the net entry apparatus then able to
5 make a request to access an application server.

6 From the above description, the design of the net entry apparatus, in
7 accordance with the present invention, is also suitable for many different
8 applications, such as checking of player identification in network games, secured
9 electronic documents for government offices, secured electronic banking services
10 and electronic commerce, management of a patient's medical history, and
11 authentication of user access to national and military entities.

12 The foregoing description of the preferred embodiments of the present
13 invention is intended to be illustrative only and, under no circumstances, should
14 the scope of the present invention be so restricted.